



STATE OF CONNECTICUT  
 DEPARTMENT OF ENERGY & ENVIRONMENTAL PROTECTION  
 WATER PROTECTION AND LAND REUSE BUREAU



**EFFLUENT NONCOMPLIANCE REPORT FORM**

City or Town: \_\_\_\_\_

Type of Noncompliance

Cause of Noncompliance

*Anticipated:* \_\_\_ *Actual:* \_\_\_

- \_\_\_ Maximum Daily Limit For:  
 \_\_\_ BOD<sub>5</sub> \_\_\_ TSS \_\_\_ pH  
 \_\_\_ Cl<sub>2</sub> \_\_\_ Fecal coliform  
 \_\_\_ Metals \_\_\_ Ammonia  
 \_\_\_ Other: \_\_\_\_\_
- \_\_\_ Two Times Any Limit For:  
 \_\_\_ BOD<sub>5</sub> \_\_\_ TSS \_\_\_ pH  
 \_\_\_ Cl<sub>2</sub> \_\_\_ Fecal coliform  
 \_\_\_ Metals \_\_\_ Ammonia  
 \_\_\_ Other: \_\_\_\_\_

- \_\_\_ Excessive Flows  
 \_\_\_ Mechanical Equipment Failure  
 \_\_\_ Electrical Equipment Failure  
 \_\_\_ Electric Utility Failure  
 \_\_\_ Approved Shutdown  
 \_\_\_ Filamentous Organisms  
 \_\_\_ Too Much Sludge In System  
 \_\_\_ Operator Error  
 \_\_\_ Other: \_\_\_\_\_

Date and time noncompliance was discovered: \_\_\_\_/\_\_\_\_/\_\_\_\_ \_\_\_\_/\_\_\_\_ AM/PM

Date and time noncompliance was stopped: \_\_\_\_/\_\_\_\_/\_\_\_\_ \_\_\_\_/\_\_\_\_ AM/PM

Detail noncompliance: \_\_\_\_\_  
 \_\_\_\_\_

How noncompliance was discovered: \_\_\_\_\_  
 \_\_\_\_\_

If Equipment Failure, date of last inspection, maintenance or repairs: \_\_\_\_/\_\_\_\_/\_\_\_\_

Action taken to eliminate current noncompliance:  
 \_\_\_\_\_

Steps taken to prevent recurrence of noncompliance: \_\_\_\_\_  
 \_\_\_\_\_

Date of last noncompliance of the same type: \_\_\_\_/\_\_\_\_/\_\_\_\_

Please submit attached *Effluent Noncompliance Notification Log*

Submitted by: \_\_\_\_\_ Signature: \_\_\_\_\_ Date: \_\_\_\_\_

## EFFLUENT NONCOMPLIANCE NOTIFICATION LOG

Permittee shall notify DEEP within 2 hours of becoming aware of the noncompliance and shall submit a written report within 5 days.

2  
hours  
Notification  
Deadline  
5  
days

DATE/ TIME

\_\_\_/\_\_\_ CT DEEP - Iliana Ayala (860) 424-3758 (Primary DEP Contact)  
If Iliana Ayala is not available, you **must** call Municipal Facilities Section at:

\_\_\_/\_\_\_ CT DEEP (860) 424-3704 [(860) 424-3338 (DEP Emergency Dispatch) only for after hours] DO NOT LEAVE VOICE MAIL MESSAGES

\_\_\_\_\_ Name of person contacted

\_\_\_/\_\_\_ CT Bureau of Aquaculture (203) 874-0696 Option 2 permit violations below Interstate Route 95 Monday through Friday 8:00 – 4:30 pm.

\_\_\_\_\_ Name of person contacted

After hours/weekend must refer to call list provided by Bureau of Aquaculture  
DO NOT LEAVE VOICE MAIL MESSAGES

\_\_\_/\_\_\_ CT Dept. of Public Health (860) 509-7296 (Recreation Section) notify from Monday through Friday 8:30 to 5:00pm for **fecal coliform** permit violations occurring from April 1<sup>st</sup> through September 30<sup>th</sup>.

\_\_\_\_\_ Name of person contacted

\_\_\_/\_\_\_ **Fax to CT DEEP, Iliana Ayala (860) 424-4067**

\_\_\_/\_\_\_ Fax to CT Aquaculture (203) 783-9976 (If south of I-95)

\_\_\_/\_\_\_ Fax to Local Health Department or Public Health District

Report Submitted by: \_\_\_\_\_ Title: \_\_\_\_\_

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Phone # \_\_\_\_\_

Submit Completed Report to: State of Connecticut  
Department of Energy & Environmental Protection  
Water Protection & Land Reuse  
Attention: Iliana Ayala  
79 Elm Street  
Hartford, CT 06106-5127

## Effluent Noncompliance Report Form

### When to be submitted?

Under Section 22a-430-3(j)(11)(D) of the Regulations of Connecticut State Agencies (RCSA), the permittee is required to report to DEP on certain known or anticipated permit violations, regardless of their cause.

permit violations below Interstate Route 95

The noncompliance or anticipated noncompliance is either greater than **two times** the permitted level or violations of any **maximum daily** limitation in an NPDES permit.  
Don't report an instantaneous chlorine violation but report the maximum daily violation (average of four readings or more)

For fecal coliform permit violations occurring from April 1<sup>st</sup> through September 30<sup>th</sup>

Notify CT Dept. of Public Health (Recreation Section) from Monday through Friday from 8:30 to 5:00 pm

### Who should be notified?

Under Section 22a-430-3(j)(11)(D) of the Regulations of Connecticut State Agencies ("RCSA") the permittee shall, within two hours of becoming aware of such condition, notify Iliana Ayala (860) 424-3758 during normal business hours (8 am to 4 pm). If Iliana Ayala is not available in person, call (860) 424-3704. **DO NOT LEAVE VOICE MAIL MESSAGES**  
Outside of the hours above, call DEP Emergency Dispatch at (860)424-3338. Submit within five days the report form and notification log by fax at (860) 424-4067 or by mail

Notify immediately the Department of Agriculture, Bureau of Aquaculture at (203) 874-0696 Option 2 from Monday through Friday 8:00 to 4:30 pm when there is a potential for **contamination of shellfish** or when south of interstate 95 anywhere in CT. After hours/weekend must refer to call list provided by Bureau of Aquaculture.